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Jc872 U.S. PTO

LIMBACH & LIMBACH L.L.P.
2001 Ferry Building, San Francisco, CA 94111
415/433-4150

Address to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. AKC-22900
[R0171]

First Named Inventor ALBERT K. CHIN

UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:
APPARATUS AND METHODS FOR SUBXIPHOID ENDOSCOPIC ACCESS

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date August 9, 2000, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL254111606US addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

ELIZABETH A. REICKER

Elizabeth A. Reicker
(Signature)

(Name of person mailing paper)

Enclosed are:

- 1. ☒ Transmittal Form (two copies required)
- 2. The papers required for filing date under CFR § 1.53(b):
 - i. 33 Pages of specification (including claims and abstract);
 - ii. 17 Sheets of drawings.
 - ☐ formal
 - ☒ informal
- 3. Declaration or oath
 - a. ☒ Newly executed (original or copy) **NOT SIGNED**
- 4. ☐ Microfiche Computer Program (Appendix, see 37 CFR 1.96)
- 5. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - i. ☐ Computer Readable Copy
 - ii. ☐ Paper Copy (identical to computer copy)
 - iii. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 6. ☒ An assignment of the invention to GUIDANT CORPORATION is attached (including Form PTO-1595). **NOT SIGNED**
 - i. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
- 7. ☐ Power of Attorney
- 8. ☒ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of 27 references.
- 9. ☐ Preliminary Amendment.
- 10. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
- 11. ☐ Other

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12. FOREIGN PRIORITY

☐ Priority of application no. ___ filed on ___ in ___ is claimed under 35 USC 119.

The certified copy of the priority application:

- ☐ is filed herewith; or
- ☐ has been filed in prior application no. ___ filed on __, or
- ☐ will be provided.

☐ English Translation Document (if applicable)

13. FEE CALCULATION

a. ☐ Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$690)
Total Claims	39 - 20	* 19	x \$18.00	\$342.00
Independent Claims	4 - 3	* 1	x \$78.00	\$ 78.00
___ Multiple dependent claim(s), if any			\$260.00	-0-

*If less than zero, enter "0".

Filing Fee Calculation \$1,110.00

50% Filing Fee Reduction (if applicable) \$

14. Small Entity Status

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ is no longer claimed.

15. Other Fees

- ☐ Recording Assignment [\$40.00] \$
- ☐ Other fees
- Specify _____ \$

Total Fees Enclosed \$1,110.00

16. Payment of Fees

- ☒ Check(s) in the amount of \$1,110.00 enclosed.
- ☐ Charge Account No. 12-1420 in the amount of \$ ____.
- A duplicate of this transmittal is attached.

17. All correspondence regarding this application should be forwarded to the undersigned attorney:

Alfred A. Equitz
Limbach & Limbach L.L.P.
2001 Ferry Building
San Francisco, CA 94111
Telephone: 415/433-4150
Facsimile: 415/433-8716

TELE (415) 433 2252

18. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate of this transmittal is attached.

LIMBACH & LIMBACH L.L.P.

August 9, 2000
(Date)

Attorney Docket No. AKC-22900 [R0171]

By:

Alfred A. Equitz
Registration No. 30,922
Attorney(s) or Agent(s) of Record